

Motilal Oswal Asset Management Company Ltd.

Motilal Oswal Tower, Rahimtullah Sayani Road,
Opp. Parel ST Depot. Prabhadevi, Mumbai 400 025. Tel.: 022-39804200/4263

Applicable for Active PMS accounts under MOAMC only
This form to be submitted atleast 30 days before the first SIP debit date

PMS SIP REGISTRATION CUM MANDATE FORM

(Please fill all details in the form to avoid rejection)

For Office Use Only: Inward No.:

Inward Date:

Date:

(Please ✓ any one option) (In absence of the indication of the option, the form will be rejected)

(Please fill in relevant details in Points 1 & 2 below)

1. PMS Account Details (The email id and mobile number provided herewith will be updated in our PMS records, unless intimated otherwise)

PMS Client Code:

PAN Number:

Name of Strategy:

Name of Sole / First Holder (First / Middle / Surname) / Name of the Entity

Name of Second Holder (First / Middle / Surname) – To be filled only in case of individuals

Name of Third Holder (First / Middle / Surname) – To be filled only in case of individuals

Email Id 1

Resi. Tel. No.

Email Id 2

Mobile No.

2. Details for SIP:

Each SIP Amount (Rs.)

(Minimum Amount - Rs.2,00,000 and in multiples of Rs.10,000 thereafter)

Frequency of SIP: (Please ✓)

☐

Monthly (6 Minimum Installments)

☐

Quarterly (3 Minimum Installments)

SIP Date: (Please ✓)

☐

1st

☐

7th

☐

14th

☐

21st

☐

28th

Scheme Name

☐

Value Strategy

☐

NTDOP Strategy

☐

India Opportunity

SIP Start / Cancellation Month

☐

M

☐

M

☐

Y

☐

Y

SIP End Month

☐

M

☐

M

☐

Y

☐

Y

(Not required to be filled for cancellation)

This is to confirm that the declaration/instruction has been carefully read, understood. I/We have understood that I/we are authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity or the bank where I have authorized the debit and express my willingness and authorize to make payments through participation in NACH/ECS/Direct Debit/Standing Instructions. I/We hereby confirm adherence to the terms of NACH/ECS (Debits)/Direct Debits/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform MOAMC, about any changes in my bank account. Authorization to Bank: This is to inform that I/We have registered for ECS / NACH (Debit Clearing) / Direct Debit / Standing instructions facility and that my/our payment towards my/our investment in the PMS Strategy of Motilal Oswal Asset Management Company Ltd (MOAMC) shall be made from my/our bank account with your Bank. I/We authorize the representatives of MOAMC carrying this mandate form to get it verified and executed.

I/We agree to and understand the terms and conditions given overleaf. I/We understand that the amount transferred towards corpus shall be considered to be credited to my/our PMS account only on realization of funds. I/We understand the investment objectives of the strategy as mentioned above and have read and understood the Disclosure Document. I/We understand that the Portfolio Investment Management Agreement and Supplemental Agreement (if any) entered into between me/us and Motilal Oswal Asset Management Company Ltd. shall continue to remain in force and shall be applicable to my/our investments in above mentioned "Strategy" as well. Further, I/We confirm that I/We have read and understood the schedule of fees which shall be applicable to me/us hereafter with regard to my/our investment in the said strategy. I/We agree and confirm that the fees and charges charged by the Portfolio Manager for providing me/us the Portfolio Management Services with respect to the other Investment Strategies availed by me as per the PMS fee schedule(s) signed by me/us from time to time shall continue to remain applicable.

Signature

Sign of First / Sole Applicant

Signature

Sign of Second Applicant

Signature

Sign of Third Applicant

1

2

Date

DDMMYYYY

Tick (✓)

CREATE ☒

MODIFY ☐

CANCEL ☐

Sponsor Bank Code

Utility Code

I/We, hereby authorize

Motilal Oswal Asset Management Company Limited

To debit (tick✓)

☐

SB

☐

CA

☐

CC

☐

SB-NRE

☐

SB-NRO

☐

Other

Bank a/c number

with Bank

IFSC

or MICR

₹

An amount of Rupees

Frequency

☐

Monthly

☐

Qtly

☐

H-Yrly

☐

Yrly

☐

As & when presented

Debit Type

☐

Fixed Amount

☐

Maximum Amount

Reference 1

(Client Code)

Mobile No.

Reference 2

(Inward No.)

Email ID

SIP PERIOD

From

DDMMYYYY

To

DDMMYYYY

☐ Until cancelled

Signature

Sign of First / Sole Applicant

Signature

Sign of Second Applicant

Signature

Sign of Third Applicant

1. Name

Name as in bank records

2. Name

Name as in bank records

3. Name

Name as in bank records

• This is to confirm you that the declaration has been carefully read, understood & made by me / us. I am authorizing the user / entity / Corporate to debit my accounts, based on the instruction as agreed and signed by me.
• I am understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.

PMS SIP REGISTRATION CUM MANDATE FORM
TERMS & CONDITIONS

The Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (), where boxes have been provided. The Form should be completed in all respects, should be submitted to your Relationship Manager or Portfolio Management Services Department 10th Floor, Motilal Oswal Tower, Rahimtullah Sayani Road, Opp. Parel ST Depot. Prabhadevi, Mumbai 400 025.

1. Banking Related

- The cities / banks / branches in the list may be modified/updated/changed/removed at anytime in future entirely at the discretion of Motilal Oswal Asset Management Company Ltd. (MOAMC), without assigning any reasons or prior notice. If any city / bank / branch is removed, SIP instructions for investors in such city/bank/branch via Auto Debit/ECS route will be discontinued without prior notice and any reason.
- The bank account provided for NACH/ECS/Auto Debit/ECS should participate in local MICR clearing.
- The investor agrees to abide by the terms and conditions of NACH/ECS/Auto Debit/ECS facility of RBI.

2. SIP Facility

- SIP facility can be availed by only active clients who have invested under PMS of MOAMC. This form can be submitted along with a new PMS application form, the first SIP will be effective only after 30 days of activation of PMS account.
- SIP facility is available only in the Value Strategy, Next Trillion Dollar Opportunity Strategy, India Opportunity
- SIP registration cum mandate form will be applicable only after the minimum amount prescribed by SEBI is fulfilled.
- SIP registration cum mandate form to be submitted atleast 30 days before the date of the first SIP debit.
- In case the SIP date falls on a non-business day, the immediate next business day would be taken as the date of SIP.
- In case the first SIP/Auto Debit start date, as mentioned overleaf, is not submitted atleast 30 days before the date of the first SIP debit, the first SIP date shall be rolled over to begin from the immediately following month/quarter, as applicable.
- A separate SIP registration cum mandate form will be required to be submitted for monthly and quarterly frequency under the same client code.
- The Portfolio Manager would invest the funds after every SIP date subject to realization of funds.

3. Rejections / Discontinuation / Cancellation of SIP

- MOAMC reserves the right to reject any application without assigning any reason thereof.
- Please fill in all details to avoid rejection of the form.
- The SIP facility will be discontinued in cases where three consecutive SIP installments are not honored or the Bank Account [for ECS (Debit clearing) / Direct Debit / Standing Instruction] is closed and request for change in bank account [for ECS (Debit clearing) / Direct Debit / Standing Instruction] is not submitted atleast 30 days before the next SIP Auto Debit.
- The SIP instructions can be cancelled by submitting this form 15 days prior to the next SIP date.
- You can choose to change your bank account by giving thirty days written notice to MOAMC.

4. Other Terms and Conditions

- Investors will not hold Motilal Oswal Asset Management Company Ltd and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to local holidays or if the SIP is processed due to delay in receiving the cancellation request or any other reason.
- Motilal Oswal Asset Management Company Ltd and other service providers shall not be responsible and liable for any damages / compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- Please refer the Disclosure Document, fee structure and product information for risk factors, fees, charges and other information.
- All the terms & conditions of the respective strategy as per the fee structure would be applicable and each SIP installment would be processed as a top-up in the respective strategy.

Instruction to Fill Mandate

- | | |
|--|--|
| 1 UMRN- To be left blank | 12 Amount in words |
| 2 Date in DD/MM/YYYY Format | 13 Amount In figures |
| 3 Sponsor Bank IFSC Code - To be left blank | 14 Frequency at which the debit should happen |
| 4 Utility Code : Unique Code of the entity to whom mandate is being Given - To be left blank | 15 Whether the amount is Fixed or variable |
| 5 Name of the entity to whom the mandate is being given | 16 Reference 1 - Trading code |
| 6 Account type SB/CA/ CC/SB-NRE/SB-NRO/Other | 17 Reference 2 - Inward No to be left blank |
| 7 Tick - Select your appropriate Action | 18 Your Mobile Number |
| a Create - For New Mandate | 19 Your Email ID |
| b Modify - For Changes/ Amendment on existing mandate | 20 Period for which the debit Mandate is valid |
| c Cancel -For Cancelling the existing registered Mandate | a Start Date |
| 8 Your Bank Account Number for Debiting the amount | b End Date |
| 9 Name of your bank and Branch | c or until cancelled |
| 10 Your bank branch IFSC code or | 21 Signatures of the account holder |
| 11 Your bank branch MICR code | 22 Name of the account holder |